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Federal Securities Laws: Selected Statutes, Rules And Forms

Federal Securities Laws

Selected Statutes, Rules and Forms Revised 2016–2017 Edition Including Amendments Received Through October 15, 2016

John C. Coffee, Jr. Hillary A. Sale M. Todd Henderson



Synopsis

This volume serves as a federal securities laws supplement, providing selected statutes, rules, and forms. It is the most complete supplement available and is regularly updated and revised. Contents include the Securities Act of 1933, Security Exchange Act of 1934, Investment Company Act of 1940, Investment Advisers Act of 1940, SEC Rules of Practice, and related federal laws. The authors also added coverage of Regulation A+ and Regulation Crowdfunding Rules. The revised edition contains changes to securities laws from the FAST Act, including a new resale exemption in section 4(a)(7) and changes to the rules regarding emerging growth companies. This edition has a cutoff date of October 15th, 2016.

Book Information

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Customer Reviews

Great condition.

will use it.

Good in depth overview with good application resources, for serious business interests a recommendation for all who wants to get into securities sales.

Basically a simplified version of the textbook. Some paragraphs are exactly word for word. I had to buy E&E instead.

Basically the title said it all, poor packaging..when I opened the package, the book itself was covered in the gray stuff. I had to dust it off for a good while and then vacuum up the pieces. Also, took awhile to receive.

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